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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001-1079

First Named Inventor : Yutaka WADA et al.

Title: POLISHING METHOD USING AN ABRADING PLATE

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

2. ☐ Small Entity Status is hereby asserted.

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

3. ☒ Specification [Total Pages: 57]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

a. ☐ Computer Readable Form

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ Paper

c. ☐ Statement verifying identity of above copies

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

## ACCOMPANYING APPLICATION PARTS

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 24]

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other

5. ☒ Oath or Declaration [Total Pages: 3]

a.1. ☐ Newly executed (original or copy)

a.2. ☒ Unexecuted

b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR 1.76)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

☐ Continuation

☐ Divisional

☒ Continuation-in-part (CIP)

of prior application Nos. 09/446,764 & 09/276,153

Prior Application Information: Examiner H. Shakeri & M. Rachuba

Group Art Unit 3723 & 3724

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

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July 30, 2001

THE COMMISSIONER IS AUTHORIZED  
TO MAKE ANY DEFICIENCY IN THE  
FORM FOR THIS PAPER TO DEPOSIT  
IN THE DEPOSIT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Yutaka WADA et al. :  
Serial No. NEW : Attn: Application Branch  
Filed July 30, 2001 : Attorney Docket No. 2001-1079

POLISHING METHOD USING AN ABRADING  
PLATE

(Rule 1.53(b) Continuation-In-Part  
of Serial Nos. 09/446,764 & 09/276,153,  
Filed April 28, 1999 & March 25, 1999)

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$1168.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$710.00

Additional Claims Fee:

Excess of Twenty ..... \$378.00

Independent ..... \$80.00

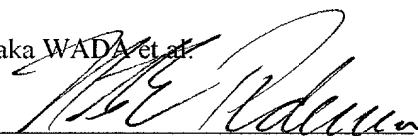
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Yutaka WADA et al.

By



Nils E. Pedersen  
Registration No. 33,145  
Attorney for Applicants

THE COMMISSIONER IS AUTHORIZED  
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